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JC690 U.S. PTO 09/26/00

UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No.	PD98-2384
First Inventor	Richard Fitzhugh Wrenn
Title	Method and Apparatus for Distributing Traffic Over Multiple Switched Fibre Channel Routes
Express Mail Label No.	EL700672297US

JC916 U.S. PTO 09/669396 09/26/00

APPLICATION ELEMENTS

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form
2. ☒ Specification [total pages 27]
   - Descriptive title of the Invention
   - Cross References to Related Applications
   - Statement Regarding Fed sponsored R&D
   - Reference to Microfiche Appendix
   - Background of the Invention
   - Brief Summary of the Invention
   - Brief Description of the Drawings
   - Detailed Description
   - Claim(s)
   - Abstract of the Disclosure
3. ☒ Drawings(g) [total sheets 5]
4. ☒ Oath or Declaration [unsigned or signed] [total sheets 1]
   a. ☒ Newly executed (original or copy)
   b. ☐ Copy from prior appl. (37 C.F.R. § 1.63(d))
   i. ☐ DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in prior application, see 37 C.F.R. §§ 1.63(d)(2) and1.33(b).
5. ☐ Microfiche Computer Program
6. Nucelotide/Amino Acid Sequence(if *applicable*)
   a. ☐ Computer Readable Copy
   b. ☐ Paper Copy (identical to computer copy)
   c. ☐ Statement verifying identity of said copies

ACCOMPANYING APPLICATION PARTS

7. ☒ Assignment Papers
8. ☐ 37 C.F.R. § 3.73(b) Statement (*when there is an assignee*) ☒ Power of Attorney
9. ☐ English Translation ☐ Copies of
10. ☐ IDS & Form 1449
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
13. ☐ Small Entity ☐ Statement filed in prior application—Status still proper and desired
14. ☐ Certified Copy of Priority Document(s)
15. ☒ Other: Certificate of Mailing

NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

16. **If a CONTINUING APPLICATION,**

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: __/_____

Prior application information: Examiner: _____ *Group/Art Unit:* _____

FOR CONTINUATION OR DIVISIONAL APPS only: *The entire disclosure of the prior application, from which an oath or declaration is supplied under* Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted form the submitted application parts

17. CORRESPONDENCE ADDRESS

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FEE TRANSMITTAL for FY 2000

Complete if Known	
Application Number	
Filing Date	herewith
First Named Inventor	Richard Fitzhugh Wrenn
Examiner Name	
Group / Art Unit	
Attorney Docket No.	PD98-2384

TOTAL AMOUNT OF PAYMENT ($) ($)730.00

METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number: 50-1123

Deposit Account Name: Hogan & Hartson L.L.P.

☒ Charge Any Additional Fee Required Under 37 CFR § § 1.16 and 1.17

2. ☒ **Payment Enclosed:**

☒ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Entity Fee ($)	Entity Fee ($)	Fee Description	Fee Paid
690	345	Utility Filing Fee	690.00
310	155	Design filing fee	
480	240	Plant filing fee	
690	345	Reissue filing fee	
150	75	Provisional filing fee	

SUBTOTAL (1) ($)690.00

2. EXTRA CLAIM FEES

		Extra Claims		Fee from below		Fee Paid
Total Claims	17	- 20**=	0	X	=	0
Independent Claims	3	-3**=	0		=	0
Multiple Dependent					=	0

**or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code	Large Entity Fee ($)	Small Entity Fee Code	Small Entity Fee ($)	Fee Description
103	18	203	9	Claims in excess of 20
102	78	202	39	Independent claims in excess of 3
104	260	204	130	Multiple dependent claim, if not paid
109	78	209	39	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) ($)

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Entity Fee ($)	Entity Fee ($)	Fee Description	Fee Paid
130	65	Surcharge – late filing fee or oath	
50	25	Surcharge – late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	For filing a request for reexamination	
920*	920*	Requesting publication of SIR prior to Examiner action	
1,840*	1,840*	Requesting publication of SIR after Examiner action	
110	55	Extension for reply within first month	
380	190	Extension for reply within second month	
870	435	Extension for reply within third month	
1,360	680	Extension for reply within fourth month	
1,850	925	Extension for reply within fifth month	
300	150	Notice of Appeal	
300	150	Filing a brief in support of an appeal	
260	130	Request for oral hearing	
1,510	1,510	Petition to institute a public use proceeding	
110	55	Petition to revive – unavoidable	
1,210	605	Petition to revive – unintentional	
1,210	605	Utility issue fee (or reissue)	
430	215	Design issue fee	
580	290	Plant issue fee	
130	130	Petitions to the Commissioner	
50	50	Petitions related to provisional applications	
240	240	Submission of Information Disclosure Stmt	
40	40	Recording each patent assignment per property (times number of properties)	40 00
760	380	Filing a submission after final rejection (37 CFR § 1.129(a))	
760	380	For each additional invention to be examined (37 CFR § 1.129(b))	
Other fee (specify)			

*Reduced by Basic Fling Fee Paid **SUBTOTAL (3)** ($) 40.00

SUBMITTED BY

Complete *(if applicable)*

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Signature	Steven K. Barton			Date	25 Sept 2000

Attorney Docket No. PD98-2384
Client/Matter No. 68854.0123

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Richard Fitzhugh Wrenn

Serial No. _____

Filed: Herewith

For: Method and Apparatus for Distributing Traffic Over Multiple Switched Fibre Channel Routes

Group Art Unit: _____

Examiner: _____

CERTIFICATE OF MAILING BY EXPRESS MAIL

BOX PATENT APPLICATION
Assistant Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

The undersigned hereby certifies that the following documents:

1. Utility Patent Application Transmittal;
2. Fee Transmittal and $690 filing fee;
3. Utility Patent Application;
4. Executed Declaration;
5. Executed Power of Attorney by Assignee;
6. 5 sheets of drawings;
7. Recordation Form Cover Sheet PTO 1595 with Executed Assignment and Recording Fee of $40.00;
8. Return postcard; and
9. Certificate of Mailing By Express Mail

relating to the above application, were deposited as "Express Mail", Mailing Label No. EL700672297US with the United States Postal Service, addressed to The Commissioner of Patents and Trademarks, Washington, D.C., 20231, Sept 26, 2000.

Sept. 26, 2000
Date

Debra M. Trowbridge
Mailer

26 Sept 2000
Date

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PATENT
Attorney Docket No. PD98-2384
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METHOD AND APPARATUS FOR DISTRIBUTING TRAFFIC OVER MULTIPLE SWITCHED FIBRE CHANNEL ROUTES

FIELD OF THE INVENTION

The invention relates to the field of computer networks. In particular, the invention relates to distributing network traffic between a pair of networked machines over multiple available routes through a network interconnecting the machines.

NATURE OF THE PROBLEM

Most modern computer networks, including switched Fibre Channel networks, are packet oriented. In these networks, data transmitted between machines is divided into chunks of size no greater than a predetermined maximum. Each chunk is typically packaged with a header and a trailer into a packet for transmission. In Fibre Channel networks, packets are known as Frames.

Packets encounter delay while being routed through a network. Many networks have switches or routers that receive packets, store them, and forward the packets on towards their destinations when communications resources become available; storing and forwarding of packets introduces delay. Additional delay may be caused by propagation delay in the network interconnect between machines or switches of the network.

The multiple packets, or frames, associated with a single Fibre Channel operation are known as a

exchange. A Sequence is a group of one or more frames, forming part of an exchange, transmitted in a single direction over the network. A sequence may contain data, status, or control information. Each exchange may contain one or more sequences, and may contain data sequences of multiple frames with control and acknowledgment sequences that are often single frames. A Fibre Channel network having at least one switch is a switched Fibre Channel fabric. A Fibre Channel switch is a routing device generally capable of receiving frames, storing them, decoding destination information from headers, and forwarding them to their destination or to another switch further along a path toward their destination.

A network interface of a switch for connection of the switch to a machine is known as an F_Port. An F_Port having the ability to connect to a Fibre Channel Arbitrated Loop is known as an FL_Port. An E_Port is a network interface of a switch for connection of that switch to another switch of a fabric. A G_Port is a port having the ability to operate as either an F_Port or an E_Port; and a GL_Port further has the ability to connect to a Fibre Channel Arbitrated Loop. For purposes of this patent F_Port includes any port of a switch that connects through a link to a machine, whether it be an F_Port, G_Port, GL_Port, or an FL_Port. Further, for purposes of this patent, an E_Port includes any port of a switch that connects through a link to another switch, regardless of whether it be an E_Port, GL_Port, or G_Port. Further, for purposes of this

patent, the term switch port includes any port of a switch, whether it be an E_port or F_port as defined herein.

A network interface for connection of a machine to a Fibre Channel fabric is known as an N_Port, and a machine attached to a Fibre Channel network is known as a node. An L_Port is a network interface for connection of a machine to a Fibre Channel Arbitrated Loop, and an NL_Port is an N_Port also having the ability to connect to a Fibre Channel Arbitrated Loop. For purposes of this patent, the term N_Port includes both N_Ports and NL_Ports.

Machines, or "Nodes", attached to a Fibre Channel network may be computers, or may be storage devices such as RAID systems, disk drives, or other storage servers.

A Fibre Channel exchange operates between an originator N_Port and a responder N_Port. For example, an originator N_Port may request an I/O operation such as a disk write; the machine attached to the responder N_Port performs the operation. N_Ports may be originators for some exchanges, and responders for others. Each Fibre Channel N_Port is assigned identification for use as a destination address for frames intended for it, this identification is unique to the specific Fibre Channel network at a given time. Each Fibre Channel N_Port participating in an exchange assigns exchange identification to that exchange, that exchange identification being unique among the exchanges in

progress on that N_Port but not necessarily unique across the network.

For purposes of this application, a link is the data transmission and reception hardware and any associated firmware that form a connection between an N_Port and an F_Port of a switch, or between E_Ports of two switches, of a Fibre Channel fabric. A link may incorporate a Fibre Channel Arbitrated Loop.

In a computer network, there may be more than one possible path, or sequence of links, switches, hubs, routers, etc. that may be traversed by a frame, between two machines attached to the network. Multiple paths may be intentional, providing extra capacity or redundant paths to protect against switch, node, or line failures, or may be unintentional consequences of network topology. Multiple paths between a pair of N_Ports may exist if there are two or more switches in the network.

It is known that frames routed on different paths through a network may suffer different delays. Further, delay on each path varies with traffic on each link of the path, the arbitration sequence of each arbitrated loop forming part of a link, flow control delays like those often injected to avoid buffer overflow, and switch loading.

Machines transmitting data on modern high-speed networks usually do not wait for each frame to be acknowledged before transmitting following frames - multiple frames of a single Fibre Channel sequence may exist in a Fibre Channel fabric at the same time.

Further, frames of multiple sequences of a single exchange may also exist simultaneously in a Fibre Channel fabric, as may frames of multiple exchanges originated by any given N_Port.

If frames of a sequence are transmitted on different paths through a fabric, an early-transmitted frame suffering long delay on one path may arrive at its destination after a late-transmitted frame that suffers little delay on another path. Frames transmitted on different paths thus may arrive at the destination N_Port out-of-order, meaning that they are received in a different order than they were transmitted by their originating machine.

Frames received out-of-order may, and often do, require collection and sorting into correct order before they can be fully processed by the receiving machine. Some network protocols, including the TCP Internet protocol, presume out-of-order delivery and require that receiving machines collect and re-order frames before executing any command associated with them. Other order-dependent protocols, including the FCP protocol for encapsulating the SCSI storage interface protocol over Fibre Channel, assume that frames arrive in correct order - requiring that the Fibre Channel fabric deliver frames in-order. Some order-dependent protocols detect, and permit retry of, out-of-order frames even if they do not require that destinations perform resequencing. Fibre Channel frame headers include a sequence count field

with which out-of-order frames may be detected within a sequence.

Fibre Channel fabrics support a variety of order-dependent and order-independent protocols running on top of their low-level Fibre Channel mechanism.

Since frames transmitted over the same path through a network tend to arrive in order, many Fibre Channel systems permitting order-dependent protocols restrict communication between any two N_Ports to transmission over one active path in each direction. Any other path between the N_Ports may be usable as an alternate path should an active path fail, but may remain little used until that failure occurs. Networks that failover from an active path to an alternate path are known in the art of Fibre Channel networks. Frame routing of this type is known herein as static routing with alternate paths.

Links of an active path, especially links between switches, may be shared with traffic between other N_Ports, including N_Ports of other machines. As loads and network configurations change, it is possible for a statically routed active path to become a bottleneck while alternate paths may have unused capacity. It is desirable to make use of any available, otherwise unused, capacity of these alternate paths to provide improved network throughput.

It is known that many machines, including RAID storage subsystems, have the ability to queue multiple commands for execution. For example, a RAID

system may queue several read or write commands, received from one or more machines. Once queued, these commands are executed from the queue to or from cache, or to or from disk, in an order depending on availability of data in cache, disk availability and disk rotation. With proper interlocks, execution may often be in an order different from that in which the commands were received.

Commands that may be queued in these devices may include commands from multiple processes, or threads, running on a single machine having one or more processors. For example, a transaction-processing system may have several processes running, each process requiring access to a different record of a database on a RAID system, all requesting access to the database at about the same time. Each process may then create read, write, lock, or unlock commands for the database. Queuing and execution of each of these commands requires that a exchange of frames be transmitted between the machine and the device.

Fibre channel frame headers have a D_ID field that encodes identification of the destination N_Port of the frame. They also have an S_ID field that encodes identification of the originating port of the frame. There is also an OX_ID field that encodes the exchange identifier assigned by the originating N_Port, and an RX_ID field that encodes the exchange identifier assigned by the receiving N_Port of the exchange. Since the receiving N_Port does not assign RX_ID until the exchange has begun and a frame is sent in response to other frames of the exchange, the

RX_ID field of early frames of an exchange, including the first frame sent by the originating N_Port, may not match the RX_ID of late frames of the exchange.

SOLUTION TO THE PROBLEM

A network, such as a Fibre Channel fabric, having two or more machines attached, each attached to the fabric through at least one N_Port, has a first and a second path between an N_Port of a first machine and an N_Port of a second machine. The first machine originates several commands for execution on the second machine and embeds those commands and associated data in frames. Frames belonging to a first command are recognized and transmitted between the first and second machines over the first path, while frames belonging to a second command are transmitted between the first and second machines over the second path.

Frames belonging to an individual exchange are recognized through the OX_ID field of the frame headers. In an alternative embodiment, frames belonging to an individual exchange are recognized through a combination of the OX_ID and the S_ID fields of the frame headers. These fields, together with the destination address (D_ID) of the frame, are input to a function whose output is used by routing and distributing tasks of one or more switches to index routing tables at a switch of the network fabric. These routing tables contain information determining the link over which each frame will be sent through the fabric from that switch towards the

destination. In this way, the routing tables determine paths, from what may be a multiplicity of possible paths, that each frame will follow through the network.

Except when routing tables are being updated, frames relating to the same exchange therefore follow the same path through the network, and therefore arrive in-order. Frames of simultaneous, but different, exchanges may be routed over different paths thus distributing traffic between the available paths.

As nodes, switches, and links are added to or removed from the network, and as a load-balancer adjusts demand on elements of the network, the routing tables are updated to reflect valid paths through the network and desired frame distribution among them. If more than one valid path appears in the table for any given destination, commands to that destination will tend to be distributed between the paths according to the frequency with which each path appears in the table.

BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 is an illustration of a Fibre Channel network having several machines and several paths between some of these nodes;

Figure 1A, an illustration of multiple processes causing overlapping exchanges on an N_Port;

Figure 2A, an example of frames for a simple write exchange;

Figure 2B, an example of frames for a simple read exchange;

Figure 3, an illustration of a Fibre Channel frame, as known in the art, detailing header information associated with the frame;

Figure 3A, an illustration of a prior-art routing table for routing frames based upon D_ID;

Figure 3B, an illustration of a prior-art routing table for routing frames based upon S_ID and D_ID;

Figure 3C, an illustration of a routing table of the present invention for routing frames based upon D_ID and OX_ID;

Figure 3D, an illustration of a routing table of the present invention for routing frames based upon D_ID, OX_ID, and S_ID;

Figure 4A, an illustration of a routing table system incorporating separate D_ID and OX_ID hash functions ahead of a routing table; and

Figure 4B, an illustration of a routing table system incorporating separate D_ID and OX_ID hash functions ahead of, and a level of indirection after, a base routing table;

DETAILED DESCRIPTION OF THE ILLUSTRATED EMBODIMENT

A switched Fibre Channel network (Figure 1) has at least two machines, with a switched Fibre Channel fabric 100 interconnecting them. The fabric may incorporate two or more switches.

Machines of the network may include computers 102 104, and 120, and RAID or other storage systems 106 each having at least one N_Port 108, 112, 114, 118, and 122, for interconnection to the fabric. Each N_Port 108, 112, 114, 118, and 122 connects through a link 130, 134, 136, 140, and 142 to a switch of the switches 150, 152, and 154 of the fabric 100. Switches 150, 152, and 154 of the fabric may further be interconnected by additional links 160, 162, and 164. Switches of the fabric may be joined by multiple links, switch 152 connects to switch 154 by a redundant link 165.

There may be, and preferably are, more than one path between a first and a second machine of the network. There are frequently also more than one possible path from a first N_Port to a second N_Port. For example, computer 120 may communicate to RAID system 106 through a first path comprising N_Port 122, link 142, switch 150, link 162, switch 154, link 140, and N_Port 118; or through a second path comprising N_Port 122, link 142, switch 150, link 160, switch 152, link 164, switch 154, link 140, and N_Port 118. A third path may also exist similar to the second path but using the redundant link 165 from switch 152 to switch 154, comprising N_Port 122, link 142, switch 150, link 160, switch 152, link 165, switch 154, link 140, and N_Port 118. Similarly, computer 102 may communicate with computer 104 through a path comprising N_Port 108, link 130, switch 150, link 162, switch 154, link 136 and N_Port 114, or through an alternative path comprising N_Port

108, link 130, switch 150, link 160, switch 152, link 164, switch 154, link 136, and N_Port 114.

Consider the first and second path described above between computer 120 and RAID system 106. In a network utilizing static routing, only one of these paths is active at a given time. The active path may include one or more elements that become overloaded, or become a bottleneck for these communications. For example, if the active path from N_Port 108 of computer 102 to N_Port 114 of computer 104 is through link 162 and the active path from N_Port 122 of computer 120 to N_Port 118 of RAID system 106 is also through link 162, it is possible for link 162 to have a heavy load while link 160 is idle.

There may be multiple processes simultaneously executing on computer 120. Each of these processes 200 and 202 (Figure 1A) may generate an I/O request 204 and 206 as known in the art, each of which in turn is performed through an exchange 208 and 210 as known in the art. These exchanges may overlap in time as they are transferred by the N_Port 122 to and from the fabric; overlapping I/O operations may result from multiple concurrent processes on a machine and many other known causes. For example but not by way of limitation, a disk write operation and a disk read operation may overlap.

A disk-write command may be packetized as a write exchange Figure 2A comprising a write command frame 250 sent from the originating N_Port 251 to a receiving N_Port 252, and a write-data sequence 254

sent after a transfer ready frame 255 is received by the originating N_Port 251. When writing to cache or disk has been completed by the receiving N_Port's machine, a response status frame 256 is returned to the originating N_Port 251. Additional acknowledgment and control frames may also be used. Similarly, a disk-read I/O command becomes a read exchange, Figure 2B, which operates through transmission of at least a read command frame 260 from the originating N_Port 251 to a receiving N_Port 252, which may be associated with a RAID system or other storage device. When data associated with the read operation is ready, the receiving N_Port 252 returns a data sequence 264 and status 266 frames to the originating N_Port 251, which may be associated with a computer. The write exchange of Figure 2A may overlap the read exchange of Figure 2B. For example and not by way of limitation, it is possible that the originating port read command 260 may be transmitted by the originating port 251 after the write command frame 250 is transmitted and before the transfer ready frame 255 is received by the originating port 251.

Each frame, or packet, transmitted over a Fibre Channel network has structure as illustrated in Figure 3. The frame contains a header, an optional payload, and a trailer. The header includes several fields, including a Destination Identification (D_ID) field 300, a Source Identification (S_ID) field 302, an Originator Exchange Identifier (OX_ID) 304, and a Responder Exchange Identifier (RX_ID) 306. The RX_ID

306 may change during an exchange because it is assigned by the responder node after the first frames of an exchange are received by that node; the OX_ID 304 is stable within an exchange. It is possible for a switch to nearly-simultaneously receive frames having identical D_ID 300 and OX_ID 304 fields from different sources, having different S_ID fields 302.

A switch of a Switched Fibre Channel Fabric receives frames having the format of Figure 3, and typically has multiple switch ports, such as E_Ports 170 and 178 (Figure 1), and F_Ports 174 and 176 of switch 150. Once the switch 150 receives a frame on an incoming switch port it is expected to forward that frame on a selected outgoing port of the switch. The selected outgoing port is a switch port, other than the incoming switch port, on a path from the originating N_Port to the receiving N_Port.

It is known that a routing table 330, Figure 3A indexed by a hash function 332 of the D_ID 300 field of a frame header, may be used to generate an outgoing port selector for controlling the outgoing switch port on which frames are forwarded by the switch. The D_ID 300 field is transformed by a hash-function 332 to an address 334, the address locating a table entry in the table 330. Each entry has an outgoing port selector 336 that controls the switch port on which the frame is forwarded by the switch.

In an effort to improve the ability of network management software to optimize traffic flow on a network, some switches input the S_ID field 302

(Figure 3B) of the frame, or an incoming switch port number on which the frame was received, to a hash function 342 in addition to the D_ID field 300. As in the routing system of Figure 3A, the hash function 342 generates an address 344 that locates a table entry in a routing table 346. The table entry then provides an outgoing port selector 348. This permits the switch to route traffic to a given destination from two different sources over two different routes.

In a switch of the present invention, a routing table 350, Figure 3C, is indexed by an address 354 generated by a hash function 352 of the D_ID field 300 and the OX_ID field 304 of each frame header. An outgoing port selector 356 is derived from a table entry of the routing table 350 located in the table by the address 354. The outgoing port selector 356 is used to control the switch port on which frames are transmitted.

In an alternative embodiment of a switch of the present invention, the S_ID field 302, as well as the D_ID field 300 and the OX_ID field 304, of each frame header is used by a hash function 360 (Figure 3D) to generate an address 362. Address 362 is then used to generate an outgoing port selector 364 by reading a table entry from a routing table 366. This embodiment provides opportunity to independently control frame distribution between available paths for each source.

Consider frames received by a switch 150 of the present invention from computer 120 and intended for

RAID system 106 N_Port 118. The headers of each of these frames are decoded by switch 150. In the network as illustrated, frames having D_ID field 300 corresponding to a destination of N_Port 118 may reach that destination through a path through switches 152 and 154, and through a second path through switch 154 directly. A hash function of the D_ID field 300 and at least one bit of the OX_ID field 304 of the header are therefore used to index routing table 180 to select the outgoing switch port. The routing table 180 has the structure illustrated in Figures 4C or 4D. The hash function is selected such that all entries of the routing table 180 that may be selected by a valid D_ID field 300 correspond to a valid outgoing port on a path to the N_Port identified by D_ID that is distinct from the incoming switch port.

Frames belonging to the same exchange have the same OX_ID field; therefore these frames follow the same route through the network and tend to arrive in-order within that exchange. Frames may, however, arrive out-of-order with respect to frames of other exchanges.

In a Fibre Channel network, there may be paths between two ports that are "better" in some way than others. Multiple bits of the OX_ID field 304 may be considered by a routing table to distribute frames between a preferred and a less preferred path. For example, if three bits of OX_ID are considered by a routing table of switch 150, eight table entries may be addressed for the same D_ID. If three of these

have an outgoing port selector specifying E_Port 170, while five specify E_Port 178, about three-eighths of frames will tend to follow the path through switches 150 and 154 while five-eighths of frames will tend to follow the path through switches 150, 152, and 154. If more than one valid path appears in the table for any given destination, exchanges directed to that destination are thus distributed between the paths according to the frequency with which each path appears in the table.

As machines, switches, and links are added to or removed from the network the routing tables are updated to reflect valid paths through the network and the desired frame distribution among them. The routing tables are also adjusted as a load-balancer task, which may run on any compute-capable machine or switch of the network, adjusts demand on elements of the network. For example, should the link 162 attached to E_Port 170 of switch 150 fail, those routing table entries specifying this port may be replaced by entries specifying E_Port 178 so that frames may reach their intended destination.

It is not necessary that the hash function 340 consider all bits of the OX_ID field, it is expected that significant distribution of traffic among multiple routes can be achieved by considering as few as one or several low bits of the OX_ID field.

In an alternative embodiment of the present invention, a hash function 400 (Figure 4A) of the D_ID field 300 generates an address-X 402 for a two-

dimensional routing table 404. A second hash function 406 generates an address-Y 408 for the routing table 404 from the OX_ID field 304 and may also consider the S_ID field 302. The routing table generates a outgoing port selector 410 as previously described. The routing table 404 therefore has a predetermined, number of port entries for each valid D_ID, each entry of which is readily locatable. The set of port entries for a particular D_ID are referenced as a line of the routing table.

The embodiment of Figure 4A is advantageous because only one line of the routing table need be rewritten to alter the distribution of frames between paths to an individual N_Port. Further, this embodiment lends itself to control of frame distribution among paths because the number of entries associated with each destination is constant and these entries are readily located in the table.

While the routing table of the present invention has been described as producing an outgoing port selector from a hash function of the D_ID and OX_ID fields 300 and 304, that operation may be either direct or indirect. In an alternative embodiment, a level of indirection is used such that paths may be taken in or out of service quickly, without need to rewrite many of the outgoing port selectors in the routing table. For example, consider the routing table structure of Figure 4B. In this embodiment, a hash function 420 of the D_ID field 300 generates an address-X 422. A second hash function 424 of at least one bit of the OX_ID field 304, and,

optionally, the S_ID field 302, produces an address-Y 426. The address-X 422 and the address-Y are combined to address a routing table 428. The routing table 428 thereupon produces a path code 430. Path code 430 is then translated by a portmap table 432 into the outgoing port selector 434. Path code 430 may have more bits than outgoing port selector 434.

In this embodiment, should a link fail it may be possible to rewrite the portmap table 432 to reroute all frames onto a functioning link (if one exists) in less time than it would take to restructure the routing table 428. Once the frames are rerouted onto a functioning link by rewriting the portmap table 432, the routing table 428 may be adjusted to balance the load. Alternatively, if path code 430 has more bits than the outgoing port selector 434, it may not be necessary to rewrite the routing table 428.

Routing tables of the present invention may be implemented in firmware or hardware of the switch. It is known that implementation of routing tables in hardware provides advantage for switches having heavy load and large numbers of switch ports. In a hardware implementation, routing table 350 of Figure 3C, 366 of Figure 3D, 404 of Figure 4A, or 428 of Figure 4B, may be implemented with a static RAM, and the portmap table 432 with a second static RAM. In such an embodiment, the routing table address inputs are multiplexed so it can be written by a processor of the switch such that the processor can maintain the routing table. The routing table is thereby addressable by either the address generated by the

hash function or functions, or by an address generated by the processor.

The hash function used for addressing the routing table may be any of many hash functions known in the art of computer science. This function may also comprise concatenation of a preselected group of bits of each input to the hash function; such as concatenation of one or more low-order OX_ID bits with several bits of the D_ID field to produce an index to the routing table. This function may also comprise concatenation of functions of bits from each field, or concatenation of bits of results of a function applied to each field.

A computer program product is a machine-readable memory having recorded on it a program for performing a particular function; this may be a read-only memory or may be an erasable and rewritable memory such as RAM, CD-RW, tape, flash memory, or magnetic disk. It is anticipated that routing control software for controlling routing tables as herein described may be distributed or operated as a computer program product. Similarly, a switch containing firmware for constructing and utilizing the routing table of the present invention in routing frames is expected to contain memory having that firmware, and therefore contains a computer program product.

While much reference has been made to a first and second path through the network, the invention is not limited to a pair of paths. The invention is

applicable to any reasonable number of concurrently available paths between nodes of a network.

While the invention has been particularly shown and described with reference to a preferred embodiment thereof, it will be understood by those skilled in the art that various other changes in the form and details may be made without departing from the spirit and scope of the invention.

What is claimed is:

1. A network comprising:

a network fabric further comprising at least two switches and a plurality of links, each link connected to at least one switch of the at least one switch;

a first N_Port connected to a link of the network fabric;

a second N_Port connected to a link of the network fabric;

wherein there exists a first path and a second path from the first N_Port to the second N_Port through the network fabric;

wherein network traffic from the first N_Port to the second N_Port is automatically distributed between the first path and the second path by the switch such that frames transmitted in a first direction and related to any single exchange are transmitted over the same path of the first and second path yet frames transmitted in the first direction and related to different but overlapping exchanges need not follow the same path.

2. The network of Claim 1, wherein the frames related to the any single exchange are identified by a switch as belonging to the single exchange through fields of a frame header comprising an originator exchange identifier field.

3. The network of Claim 2, wherein frames are routed by at least one routing table located within a switch of the at least two switches, the routing table having inputs comprising a hash function of a

destination identifier of the frame header and at least one bit of the originator exchange identifier.

4. The network of Claim 3, wherein the network comprises a switched Fibre Channel fabric.

5. The network of Claim 4, wherein the hash function has input further comprising a field selected from the group consisting of a source identifier field of the frame header and an incoming port number on which the frame was received by the switch.

6. The network of Claim 4, wherein the routing table produces an index to a second table that provides an outgoing port identifier for the switch.

7. The network of Claim 4 wherein a load-balancing task of the network updates the at least one routing table to alter a distribution of exchanges among paths.

8. The network of Claim 4, wherein the hash function further comprises a first hash sub-function of at least one bit of the destination identifier and having an output, a second hash sub-function of the at least one bit of the originator exchange identifier and having an output, and a concatenation operation of the output of the first hash sub-function with the output of the second hash sub-function.

9. The network of Claim 8, wherein the second hash sub-function is a bit select operation.

10. The network of Claim 8, wherein the hash function has inputs further comprising an input selected from the group consisting of an incoming port identifier on which the frame was received and at least one bit of a source identifier field of the frame header.

11. A program product for distributing network traffic between a first N_Port of a network and a second N_Port of a network, the network having a plurality of paths for frames from the first N_Port to the second N_Port and at least one switch, the program product operable upon said switch and comprising computer-readable code for:

maintaining a routing table, the routing table indexed by an output of a hash function of inputs comprising a destination identification field and an originator exchange identifier field of a header of a frame;

causing the routing table to be accessed upon receipt of a frame, the routing table coupled to determine a selected port for transmission of the frame; and

causing the frame to be transmitted on the selected port.

12. The program product of Claim 11, wherein the hash function has inputs further comprising a an input selected from the group consisting of a source identifier field of the frame header and an identity of a switch port upon which the frame was received.

13. The program product of Claim 11, wherein the routing table is coupled to determine a selected port

by providing an index to a second table that provides a selected port identifier.

14. A switch for a network capable of distributing frames received on a first port over a plurality of ports, the switch comprising

a plurality of ports including a first port, the first port capable of receiving a frame;

a routing table capable of determining a port of the plurality of ports for forwarding a received frame based upon an address;

a hash function generator capable of generating an address for the routing table based upon information comprising a destination identification field and at least one bit of an originator exchange identifier field of a header of the received frame;

a processor for maintaining the routing table; and

apparatus for receiving a frame and for passing a received frame to the port determined by the routing table.

15. The switch of Claim 14 wherein the hash function generator is capable of generating an address for the routing table based upon information further comprising an identifier selected from the group consisting of a source identifier field of the header of the received frame and an port identifier of the switch port on which the frame is received.

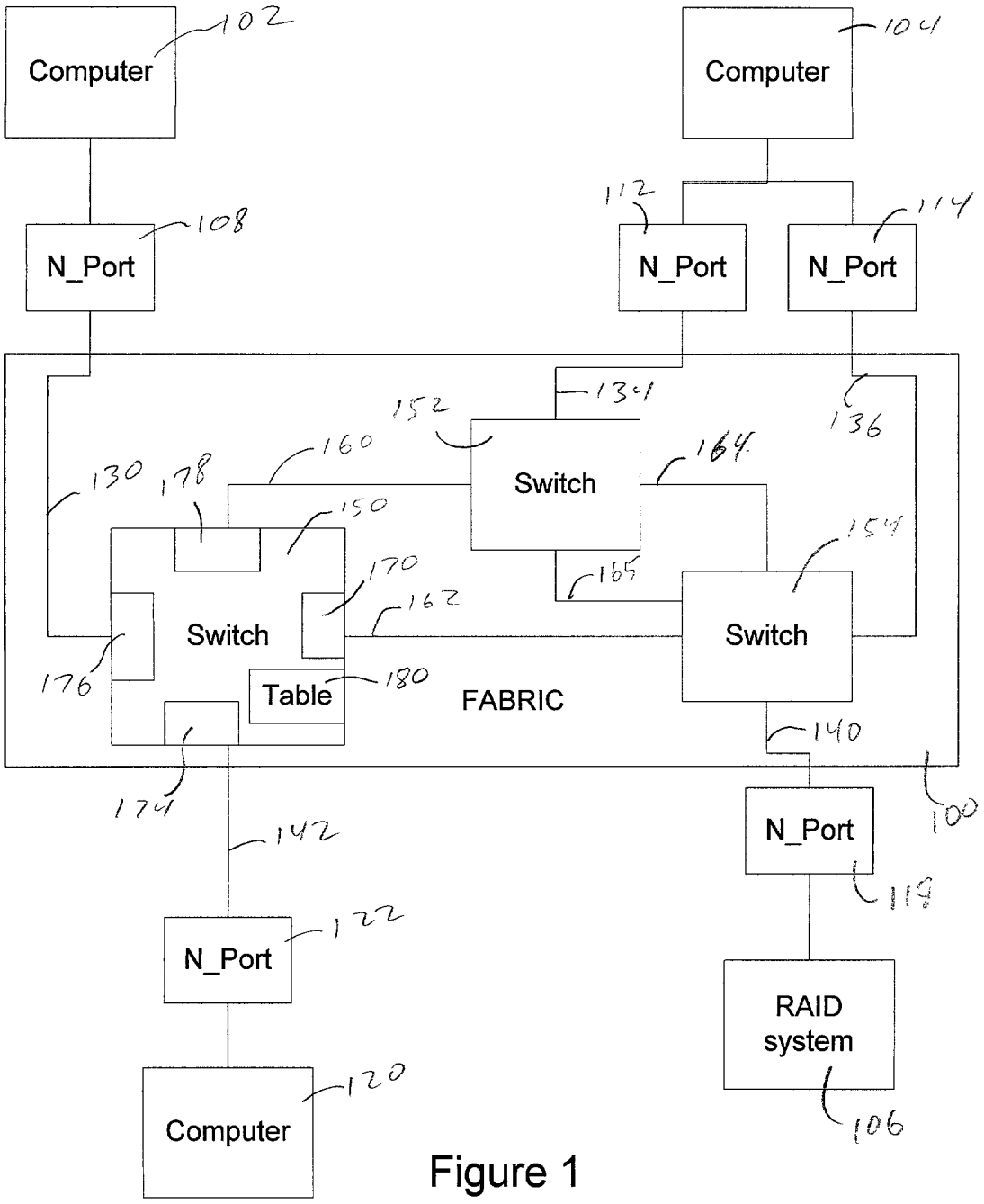
16. The switch of Claim 14, wherein the hash function generator further comprises devices to perform the hash function of a destination identification field

and at least one bit of an originator exchange identifier field of the header of the received frame, and the routing table comprises a memory capable of being addressed by the address generated by the hash function.

17. The switch of Claim 16, wherein the memory of the routing table is implemented by at least one RAM, the RAM being writable by the processor and coupled to be addressed through a multiplexor capable of selecting a RAM address from the group of addresses comprising an address generated by the processor and the address generated by the hash function.

ABSTRACT

A computer network has two or more switches and a plurality of links. A first machine and a second machine are interconnected by the network in such a way that there exist multiple paths through the network from an N_Port of the first machine to an N_Port of the second machine. Network traffic from the N_Port of the first machine to the N_Port of the second machine is distributed between the multiple paths such that frames related to any single exchange traverse the same path yet frames of a first exchange need not traverse the same path as frames of a second exchange. Frames of each exchange therefore tend to be received by their destination in order with respect to other frames of that exchange, while they are not necessarily received in-order with respect to frames of other exchanges.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Computer
104
N_Port
108
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112
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114
130
178
160
152
Switch
164
150
154
170
165
162
Switch
Switch
176
Table
180
FABRIC
174
140
136
100
142
N_Port
119
N_Port
122
RAID system
106
Computer
120

Figure 1

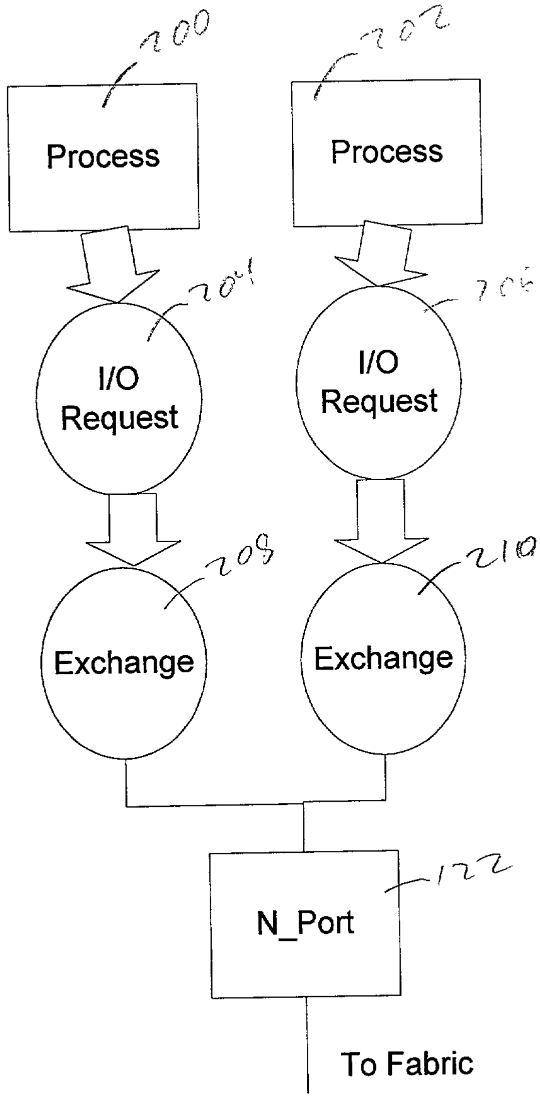


Figure 1A

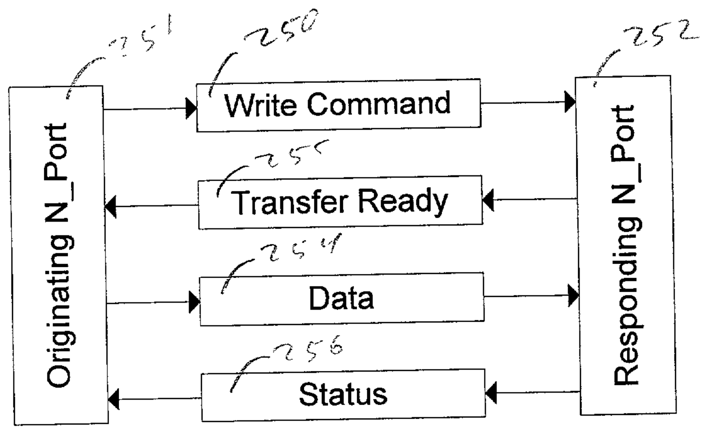


Figure 2A

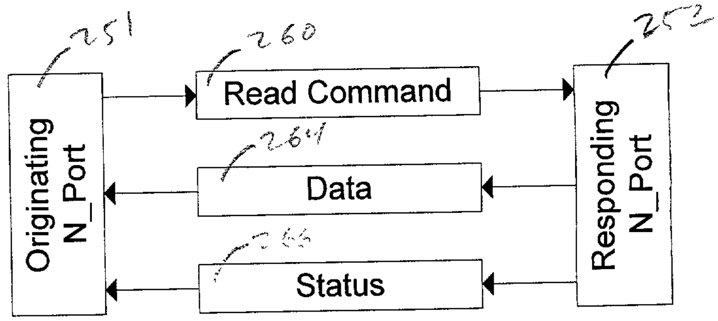
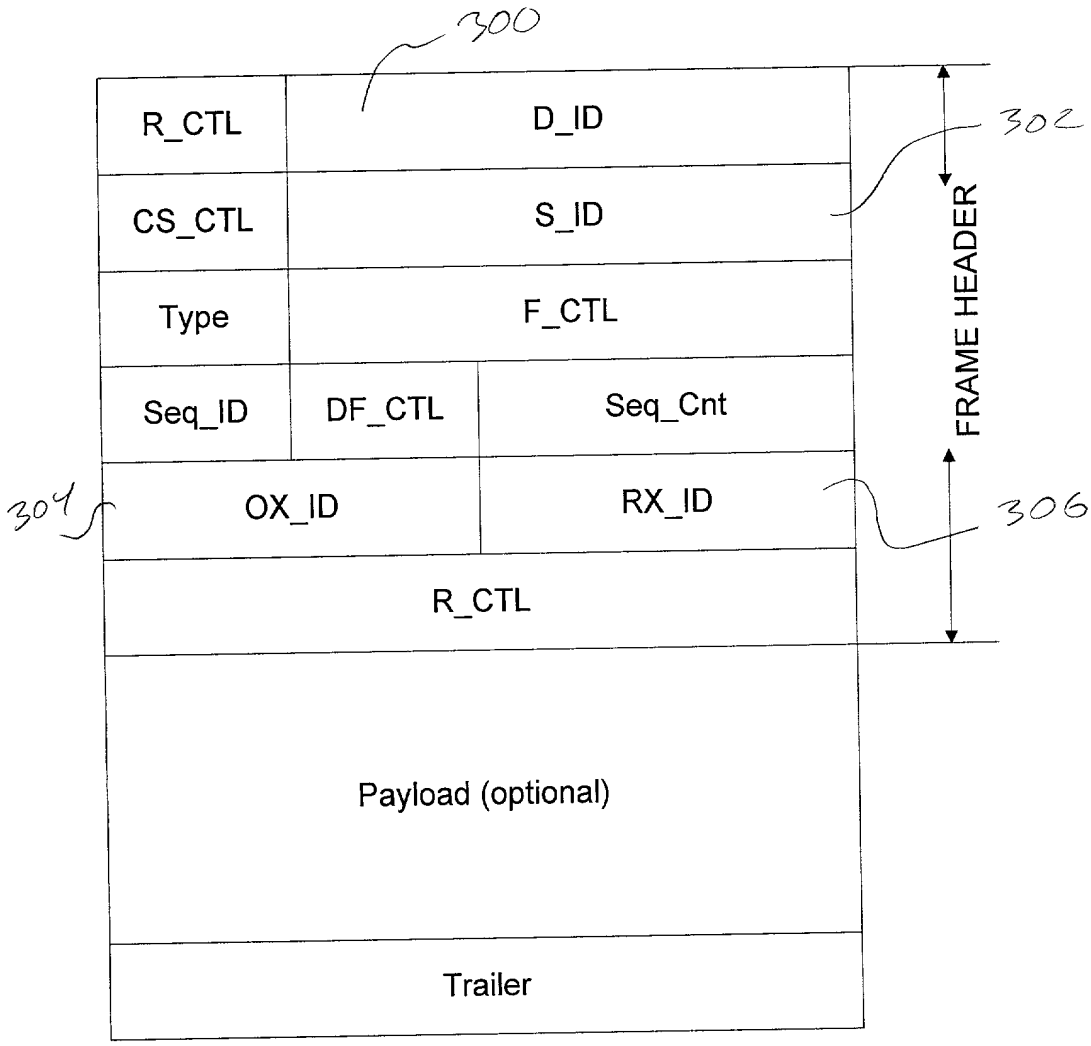


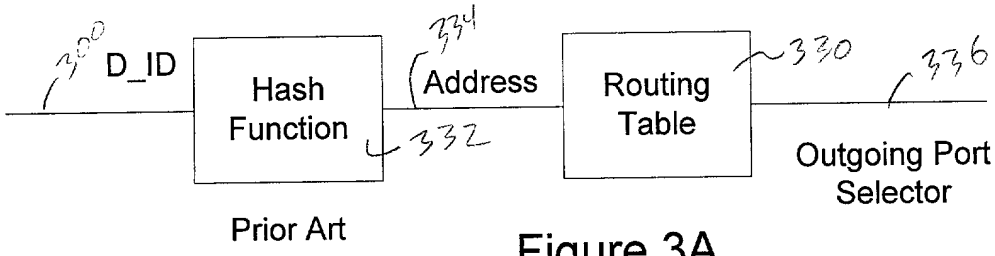
Figure 2B

Simple Exchange Sequences



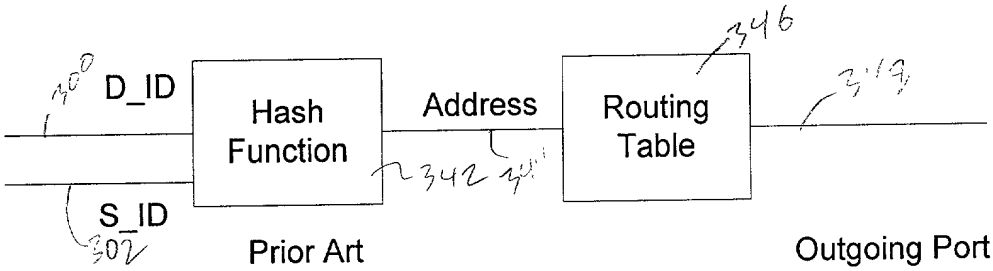
Packet Structure

Figure 3



Prior Art

Figure 3A



Prior Art

Figure 3B

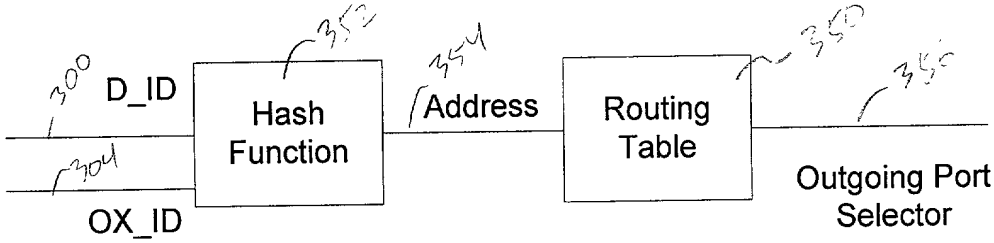


Figure 3C

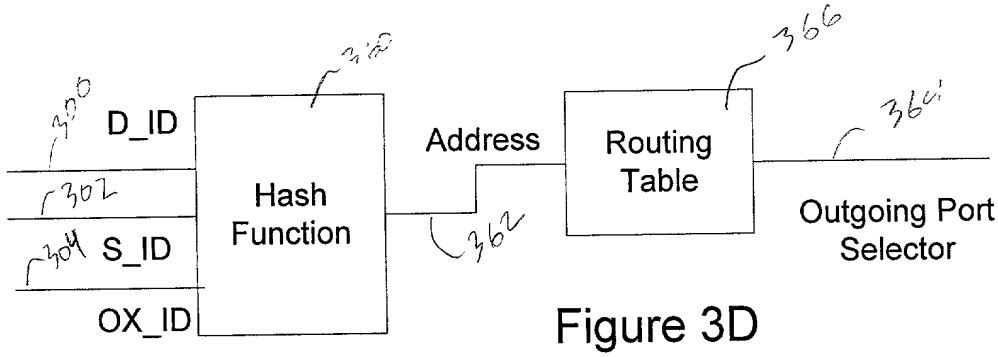


Figure 3D

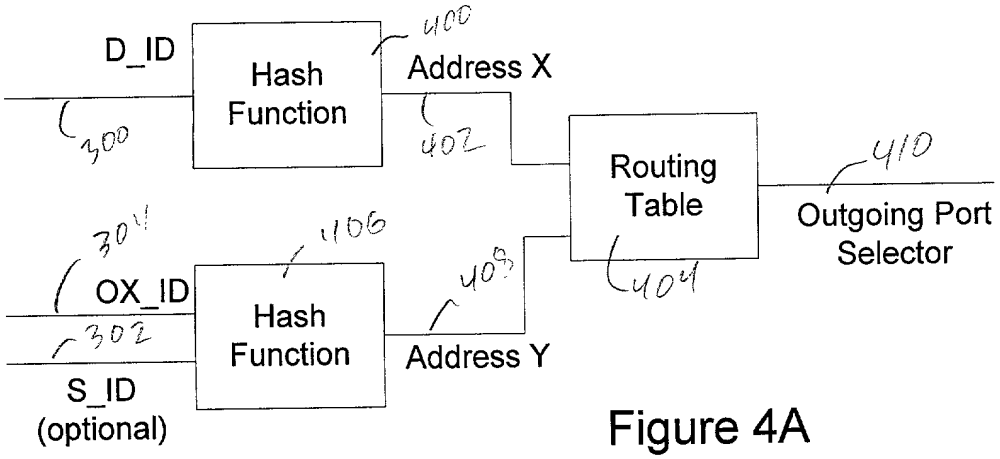


Figure 4A

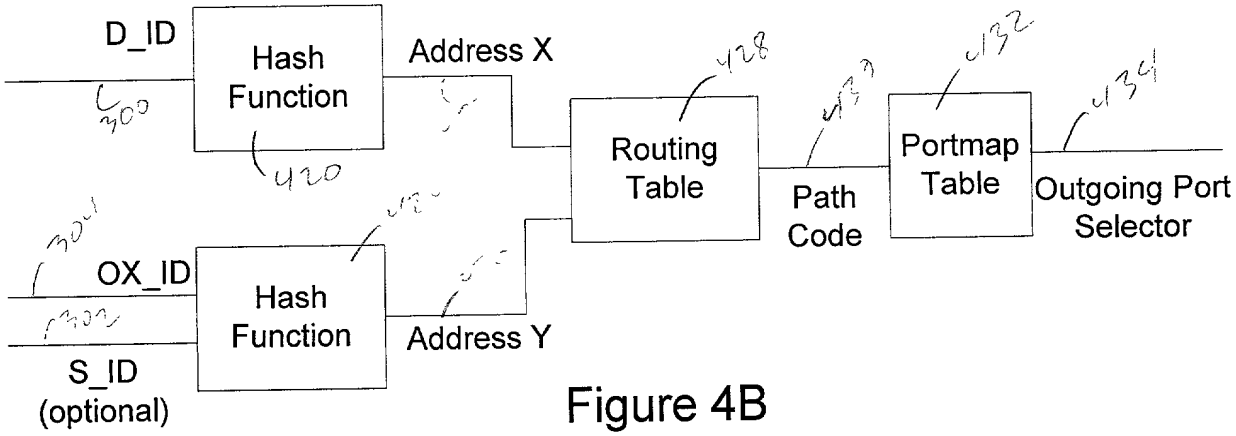


Figure 4B

PATENT
Attorney Docket No. PD98-2384
Client/Matter No. 68854.0123
Express Mail No. EL700672297US
Sole Inventor
Original

DECLARATION

As a below named inventor, I hereby declare that: my residence, post office address, and citizenship are as stated below next to my name. I believe I am the original, first, and sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Method and Apparatus for Distributing Traffic Over Multiple Switched Fibre Channel Routes

as described in the specification ☒ attached or ☐ of patent Application Serial No.
filed and amended on _____.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above; that I do not know and do not believe the same was ever known or used in the United States of America before my or our invention thereof, or patented or described in any printed publication in any country before my or our invention thereof or more than one year prior to this application; that the invention has not been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representative or assigns more than twelve months prior to this application; and that I acknowledge the duty to disclose information of which I am aware which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations § 1.56(a). Such information is material when it is not cumulative to information already of record or being made of record in the application, and

(1) it establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
(2) it refutes, or is inconsistent with, a position the applicant has taken or may take in:
(i) opposing an argument of unpatentability relied on by the Office, or
(ii) asserting an argument of unpatentability.

I hereby claim foreign priority benefits under Title 35, United States Code § 119 of any foreign application(s) for patent or inventor's certificates listed below and have also identified below any foreign application(s) having a filing date before that of the applications(s) on which priority is claimed:

COUNTRY	APPLICATION NUMBER	Date Filed	Priority Claimed under 35 USC 119
			☐ YES ☐ NO

I hereby claim the benefit under Title 35 United States Code § 120 of any United States application(s) listed below and, insofar as any subject matter of any claim of this application is not disclosed in the prior United States Application, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations § 1.56(a) which occurred between the filing date of the prior application and the national PCT international filing date of this application.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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POST OFFICE ADDRESS Same		
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		CITIZENSHIP
POST OFFICE ADDRESS		

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant/Patentee:

Richard Fitzhugh Wrenn

Serial No.:

Date Filed: Herewith

For: Method and Apparatus for Distributing Traffic Over Multiple Switched Fibre Channel Routes

Attorney File No.: 68854.0123

Digital Docket No.: PD98-2384

POWER OF ATTORNEY BY ASSIGNEE

Under the provisions of 37 C.F.R. § 3.71, the undersigned assignee of record of the entire interest in the above-identified patent/patent application by virtue of an assignment recorded (check as applicable):

☒ Concurrently Herewith
☐ Date Recorded _____
☐ Reel _____ Frame _____

elects to conduct the prosecution of the application/maintenance of the patent to the exclusion of the inventor(s). The undersigned hereby declares that she has reviewed the above-referenced assignment and hereby declares that, to the best of her knowledge, title is in the Assignee, and further declares that all statements made herein of her own knowledge are true and that all statements made on information and belief are believed to be true. The assignee hereby revokes any previous powers of attorney and appoints the following to prosecute this application/maintain this patent and transact all business in the Patent and Trademark Office connected therewith:

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ASSIGNEE
COMPAQ COMPUTER CORPORATION

Date: 25 September 2000

BY: Diane H. Strong
NAME: Diane H. Strong
TITLE: Administrator, Patents
Authorized To Sign This Document On Behalf Of Compaq Computer Corp.